

ARTICLE

Open Access

Synergistic inhibition of histone modifiers produces therapeutic effects in adult *Shank3*-deficient mice

Freddy Zhang¹, Benjamin Rein¹, Ping Zhong¹, Treefa Shwani¹, Megan Conrow-Graham¹, Zi-Jun Wang¹ and Zhen Yan¹

Abstract

Autism spectrum disorder (ASD) is a lifelong developmental disorder characterized by social deficits and other behavioral abnormalities. Dysregulation of epigenetic processes, such as histone modifications and chromatin remodeling, have been implicated in ASD pathology, and provides a promising therapeutic target for ASD. Haploinsufficiency of the *SHANK3* gene is causally linked to ASD, so adult (3–5 months old) *Shank3*-deficient male mice were used in this drug discovery study. We found that combined administration of the class I histone deacetylase inhibitor Romidepsin and the histone demethylase LSD1 inhibitor GSK-LSD1 persistently ameliorated the autism-like social preference deficits, while each individual drug alone was largely ineffective. Another behavioral abnormality in adult *Shank3*-deficient male mice, heightened aggression, was also alleviated by administration of the dual drugs. Furthermore, Romidepsin/GSK-LSD1 treatment significantly increased transcriptional levels of NMDA receptor subunits in prefrontal cortex (PFC) of adult *Shank3*-deficient mice, resulting in elevated synaptic expression of NMDA receptors and the restoration of NMDAR synaptic function in PFC pyramidal neurons. These results have offered a novel pharmacological intervention strategy for ASD beyond early developmental periods.

Introduction

Autism spectrum disorder (ASD) is a neurodevelopmental disorder characterized by impaired social communication, restricted interests and other behavioral abnormalities that last throughout the life span¹. ASD affects approximately 1 in every 59 children in the United States, and is four times more prevalent in males than in females². ASD is commonly diagnosed in early development, with the average age of diagnosis ranging from 3–10 years old^{3,4}. Hence, the vast majority of preclinical studies in mouse models of ASD focuses on phenotypes in early development⁵. Presently there are no available pharmacotherapies for ASD-associated core deficits⁶.

ASD is strongly associated with *de novo* or inherited mutations in genes affecting convergent biological functions, including synaptic transmission and epigenetic control of transcription⁷. Haploinsufficiency of the *SHANK3* gene, which encodes a postsynaptic density scaffolding protein at glutamatergic synapses⁸, has been identified as a highly penetrant ASD risk factor^{7,9,10}. Human patients carrying deletion of the 22q13.3 genetic region containing *SHANK3* (known as 22q13.3 deletion syndrome or Phelan-McDermid syndrome) exhibit ASD-associated phenotypes, including decreased socialization and intellectual disability¹¹. Additionally, aggressive behavior is observed in 10–15% of patients with Phelan-McDermid syndrome¹². In agreement with human phenotypes, young male mice with the heterozygous C-terminal deletion in the *Shank3* gene (*Shank3*^{+/ Δ C}) exhibit ASD-related social deficits^{13,14}. *Shank3*^{+/ Δ C} mice also display impaired N-methyl-D-aspartate (NMDA)

Correspondence: Zhen Yan (zhenyan@buffalo.edu)

¹Department of Physiology and Biophysics, State University of New York at Buffalo, Jacobs School of Medicine and Biomedical Sciences, Buffalo, NY 14203, USA

These authors contributed equally: Freddy Zhang, Benjamin Rein

© The Author(s) 2021



Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons license and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this license, visit <http://creativecommons.org/licenses/by/4.0/>.

receptor-mediated glutamatergic transmission in the prefrontal cortex (PFC)^{13,14}, a brain region critical for high level executive function and social cognition^{15,16}.

Epigenetic processes that are involved in histone modification and chromatin remodeling have been identified as commonly dysregulated pathways in ASD^{7,17}. DNA packaging proteins known as histones can be post-translationally modified to induce transcriptional activation or repression¹⁸. Several of these modifications, such as histone acetylation or methylation, are dynamically regulated by epigenetic enzymes, including histone acetyltransferases (HAT) and deacetylases (HDAC), histone methyltransferases (HMT) and demethylases (HDM)¹⁸. Class I HDACs, which mediate gene repression through the removal of gene-activating histone acetylation, form complexes with various epigenetic enzymes to regulate diverse gene classes¹⁹. One such binding partner of class I HDAC is LSD1 (lysine-specific demethylase 1, KDM1A), a histone demethylase that enacts gene repression by removing histone 3 lysine 4 methylation that is linked to gene activation²⁰. Class I HDAC and LSD1 are often recruited to the same chromatin-associated multi-protein complex to regulate the transcription of common genes^{21,22}. Several recent studies have demonstrated that compounds targeting epigenetic enzymes, such as class I HDAC or EHMT1/2, are capable of restoring sociability in young (juvenile to adolescent) Shank3^{+/ Δ C} mice^{13,23,24}. While this represents a promising therapeutic strategy in ASD, its applicability in adulthood is largely unknown.

In the current study, we report that robust and prolonged amelioration of behavioral deficits in adult (3–5 months old) Shank3^{+/ Δ C} mice is achieved via the combined administration of a class I HDAC inhibitor and an LSD1 inhibitor. When dually administered, these compounds restore NMDAR synaptic expression and function in PFC. These findings suggest that synergistic inhibition of class I HDAC and LSD1 may represent an effective treatment strategy for adults with ASD.

Materials and methods

Animals and drugs

Mice expressing C-terminal (exon 21)-deleted Shank3 (Shank3^{+/ Δ C}) were obtained from Jackson Labs (Bar Harbor, ME) in early 2013 (stock#: 018398). They were backcrossed for over 10 generations onto the C57BL/6 inbred strain and were maintained on the C57BL/6 genetic background, as previously described^{13,14}. Adult heterozygous Shank3^{+/ Δ C} mice (3–5 months old, male) and age-matched wild-type littermates (male) were used in the current study. Mice were group-housed with ad libitum food accessibility in a 12-hr light–dark cycle (light: 6 a.m.–6 p.m.; dark: 6 p.m.–6 a.m.). Mice of each genotype were randomly assigned to drug/saline groups. Experiments were carried out by investigators with no prior

knowledge of genotypes or treatments. All experiments were performed with the approval of the Institutional Animal Care and Use Committee of the State University of New York at Buffalo.

Romidepsin (Selleck Chem) and GSK-LSD1 dihydrochloride (Tocris) were dissolved into DMSO, then diluted with 0.9% saline before injection. Romidepsin (0.25 mg/kg) and GSK-LSD1 (5 mg/kg) were administered separately, via intraperitoneal injection. Each drug was administered three times: once per day over the course of three subsequent days. All behavioral tests were performed at various time points after the final injection of the compound (as indicated in the paper). All biochemical experiments were performed with prefrontal cortical tissue collected 8 days after the final treatment, unless otherwise indicated.

Behavioral testing

Three-chamber social preference

Three-chamber social interaction assay was performed to assess social deficits as previously described^{13,14}. For habituation, the test mouse was placed into a Plexiglass arena (L: 101.6 cm, W: 50.8 cm, H: 50.8 cm) containing two empty inverted pencil cups (D: 10.2 cm, H: 10.5 cm) in two side chambers for 10 min. An upright glass water bottle was placed on top of each pencil cup to prevent the test mouse from climbing the cup. On the following day, the mouse was reintroduced to the apparatus for a 10-min pre-test trial, in which the pencil cups contained two identical objects (paper balls). The animal was then returned to its home cage for 5 min. The animal was then placed into the apparatus for a 10-min social trial, in which one cup contained a non-social stimulus (NS; wooden block) and the other contained a social stimulus (Soc; an age- and gender-matched unfamiliar WT mouse). Locations of the NS and Soc stimuli were counterbalanced between animals, and the apparatus was cleaned between trials. The amount of time spent interacting with each stimulus over the 10-min period was recorded. For repeated tests, a new stimulus mouse was used at each assay to avoid habituation to the social stimulus. Interaction time was counted based on ‘investigating’ behaviors of the test animal toward each stimulus, and was scored manually. The preference index was calculated as [(social time – non-social time)/(social time + non-social time)] × 100.

Locomotion

The total distance traveled during the test phase of the three-chamber social preference test was measured by a computer running ANY-maze behavior tracking software (Stoelting, Wood Dale, IL).

Resident intruder (RI) Test

Animals were subject to the RI test as previously described^{25,26}. Briefly, each mouse was single housed

for 24 h prior to the RI test. The resident mouse (male) was then exposed to an intruder, which was a smaller (~50% lighter) unfamiliar male WT mouse, in its home cage for 10 min. The total amount of time that the resident mouse spent attacking the intruder mouse (defined as chasing, prodding, or fighting) was manually scored to measure aggression-related behaviors. The intruders were all socially experienced, but naïve to the RI test. Each intruder was used only once and was not re-used for other aggressive encounters (to avoid winner or loser effects).

Quantitative polymerase chain reaction (qPCR)

Total RNA was isolated from mouse PFC punch biopsy samples using Trizol reagent (Invitrogen) and treated with DNase I (Invitrogen) to remove genomic DNA from the sample. Then SuperScript III first-strand synthesis system (Invitrogen) was used to obtain cDNA from the tissue mRNA, followed by the treatment with RNase H (2 U/ l) for 20 min at 37 °C. Quantitative PCR was carried out using the iCycler iQ Real-Time PCR Detection System and iQ Supermix (Bio-Rad) according to the manufacturer's instructions. A total reaction mixture of 25 µL was amplified in a 96-well thin-wall PCR plate (Bio-Rad) using the following PCR cycling parameters: 95 °C for 5 min, followed by 40 cycles of 95 °C for 30 s, 55 °C for 30 s and 72 °C for 60 s. *Gapdh* was used as the housekeeping gene for quantitation. Fold changes in the target genes were determined by: $\text{Fold change} = 2^{-\Delta(\Delta C_T)}$, where $\Delta C_T = C_{T(\text{target})} - C_{T(\text{GAPDH})}$, and $\Delta(\Delta C_T) = \Delta C_{T(\text{treated group})} - \Delta C_{T(\text{WT+saline})}$. Primers for all the genes profiled in this study are:

Gene	Forward	Reverse
<i>Gapdh</i>	GACAACCTCACTCAAGATTGTCAG	ATGGCATGGACTGTGGTCATGAG
<i>Grin1</i>	CATCGGACTTCAGCTAATCA	GTCCCCATCCTCATTGAATT
<i>Grin2a</i>	GGCTACAGAGACTTCATCAG	ATCCAGAAGAAATCGTAGCC
<i>Grin2b</i>	TTAACAACCTCCGTACCTGTG	TGGAACCTCTTGTCACCTCAG
<i>GluR1</i>	GCCTTAATCGAGTTCGTGCTA	GAATGGATTGCATGGACTTG
<i>GluR2</i>	AGCCTATGAGATCTGGATGT	GAGAGAGATCTTGCGAAAT
<i>Shank3</i>	GATCTGCCATCCCTACAAC	AGCTAAGGGTGAGCTAGGAT
<i>Homer1b</i>	AACACAAAGAAGAACTGGGT	ATTGCCTTTGAGCCATCTAA
<i>Arc</i>	GAGGCTCAGCAATATCAGTC	GGACAGCCAATATTCTTCAG

Biochemical measurement of synaptic proteins

Synaptic membrane protein fraction was prepared as described previously¹⁴. In brief, blocks of frontal cortex were dissected, weighed and homogenized in ice-cold lysis buffer (10 mL/g, 15 mM Tris, pH 7.6, 0.25 M sucrose, 1 mM PMSF, 2 mM EDTA, 1 mM EGTA, 10 mM Na₃VO₄, 25 mM NaF, 10 mM sodium pyrophosphate and protease inhibitor tablet). After

centrifugation at 800 x g for 5 min to remove nuclei and large debris, the remaining supernatant was subjected to 10,000 x g centrifugation for 10 min. The crude synaptosome fraction (pellet) was suspended in lysis buffer containing 1% Triton X-100 and 300 mM NaCl, homogenized again and centrifuged at 16,000 x g for 15 min. The Triton insoluble fraction, which mainly includes membrane-associated proteins from synaptosomes, was dissolved in 1% SDS. Samples were boiled in 2 x SDS loading buffer for 5 min and separated on 7.5% SDS-PAGE. Western blots were performed using antibodies against NR1 (1:500, NeuroMab, 75-272), NR2A (1:500, Millipore, 07-632), NR2B (1:500, Millipore, 06-600), PSD95 (1:1000, Cell Signaling, 36233 S) and tubulin (1:5000 Sigma, T9026).

Immunohistochemistry

Mice were anesthetized and transcardially perfused with PBS, followed by 4% paraformaldehyde (PFA) before brain removal. Brains were post-fixed in 4% PFA for 1 day prior to 3-day incubation in 30% sucrose, then cut into 50-µm coronal slices. Prior to immunohistochemistry, slices were washed and blocked for 1 h in PBS containing 5% donkey serum and 0.3% Triton for permeabilization. After washing, slices were incubated with the primary antibody against H3K9ac (1:500, Cell Signaling Technology, 9649 S) for 24 h at 4 °C. After washing three times (15 min with gentle shaking) in PBS, slices were incubated with secondary antibody (Alexa Fluor 568, A10042 or Alexa Fluor 488, SA5-1009, Invitrogen, both 1:400) for 24 h at 4 °C, followed by three washes with PBS. Slices were mounted on slides with Vectashield mounting media with DAPI (Vector Laboratories). Images were acquired using a Leica DMi8 confocal fluorescence microscope. All specimens were imaged under identical exposure conditions and analyzed with identical parameters using ImageJ software.

Electrophysiology

Whole-cell voltage-clamp recording technique was used to measure synaptic currents in layer V pyramidal neurons of prefrontal cortical slices as previously described¹³. Mouse slices (300 µm) were positioned in a perfusion chamber attached to the fixed stage of an upright microscope (Olympus) and submerged in continuously flowing oxygenated artificial cerebrospinal fluid (in mM: 130 NaCl, 26 NaHCO₃, 1 CaCl₂, 5 MgCl₂, 3 KCl, 1.25 NaH₂PO₄, 10 glucose, pH 7.4, 300 mOsm). The GABA antagonist bicuculline (20 µM) and AMPA antagonist CNQX (20 µM) were added in NMDAR-EPSC recordings. Bicuculline and D-APV (50 µM) were added in AMPAR-EPSC recordings. Patch electrodes contained internal solution (in mM): 130 Cs-methanesulfonate, 10 CsCl, 4 NaCl, 10 HEPES, 1 MgCl₂, 5 EGTA, 2 QX-314, 12 phosphocreatine, 5 MgATP, 0.2 Na₃GTP, 0.1 leupeptin, pH 7.2–7.3, 265–270 mOsm.

Neurons were visualized with a 40x water-immersion lens and recorded with the Multiclamp 700 A amplifier (Molecular Devices, Sunnyvale, CA). Evoked EPSC were generated with a pulse from a stimulation isolation unit controlled by a S48 pulse generator (Grass Technologies, West Warwick, RI). A bipolar stimulating electrode (FHC, Bowdoinham, ME) was placed ~100 μm from the neuron under recording. For NMDAR-EPSC, the cell (clamped at -70 mV) was depolarized to $+40\text{ mV}$ for 3 s before stimulation to fully relieve the voltage-dependent Mg^{2+} block. For AMPAR-EPSC, the membrane potential was maintained at -70 mV . For input–output responses, EPSC was elicited by a series of pulses with different stimulation intensities (50–90 μA) delivered at 0.033 Hz. To obtain NMDAR-to-AMPA-EPSC ratio, AMPAR-EPSC was first recorded at -70 mV in ACSF solution (containing bicuculline). Then the mixture of AMPAR-EPSC and NMDAR-EPSC was recorded at $+40\text{ mV}$ with the same stimulation pulse (0.4 ms, 80 μA). The peak of NMDAR-EPSC was calculated at 40 ms from the onset of the EPSC mixture. Spontaneous EPSCs (sEPSC) were recorded with the same external and internal solutions in neurons clamped at -70 mV . Data analyses were performed with Clampfit (Axon instruments, Molecular Devices, Sunnyvale, CA), Mini Analysis Program 6.0.3 (Synaptosoft), Kaleidagraph (Albeck Software, Synergy Software, Reading, PA) and GraphPad Prism 6 (GraphPad Software, Inc., La Jolla, CA).

Statistics

All data are presented as mean \pm SEM. No sample was excluded from the analysis. The sample size was based on power analyses and was similar to those reported in previous work^{14,27,28}. The variance between groups being statistically compared was similar. Each set of the experiments was replicated in at least three independent groups of animals. Data-points identified as statistically significant outliers were removed from the analyses. Outliers were determined via Grubb's test as data-points falling above a critical Z-score (determined by sample size) where $p < 0.05$. Experiments with two groups were analyzed statistically using unpaired Student's *t*-tests with Welch's correction. Experiments with more than two groups were subjected to one-way ANOVA, two-way ANOVA, or two-way repeated measure ANOVA (rmANOVA), followed by post hoc comparisons with Bonferroni corrections for multiple comparisons.

Results

Combined administration of HDAC inhibitor Romidepsin and LSD1 inhibitor GSK-LSD1 exerts persistent rescue of social deficits in adult Shank3-deficient mice

Pharmacological targeting of histone modifiers has been established as an effective method for rescuing ASD-related social deficits in adolescent (5–8 weeks old) Shank3-deficient (Shank3^{+/ Δ C}) mice^{13,23,24}. However, it

remains unclear whether these therapeutic effects can be similarly obtained in adulthood. Therefore, we treated adult (3–5 months old) male Shank3^{+/ Δ C} mice with either the highly potent and brain permeable²⁹ class I HDAC inhibitor Romidepsin³⁰ (0.25 mg/kg, i.p. once daily for 3 days), or the selective LSD1 inhibitor GSK-LSD1³¹ (5 mg/kg, i.p., daily for 3 days), and assessed their sociability with the three-chamber social preference test. Compared to saline-treated adult wild-type (WT) mice, saline-treated adult Shank3^{+/ Δ C} mice displayed a significantly lower social preference index at all time points tested, confirming the presence of social deficits in Shank3^{+/ Δ C} mice that persists into adulthood (Fig. 1A). Adult Shank3^{+/ Δ C} mice treated with Romidepsin or GSK-LSD1 alone exhibited a slight improvement of social preference index at day 1 or day 4 post-treatment (Fig. 1A, $n = 8\text{--}11$ mice/group, $F_{2,70}(\text{time}) = 10.1$, $p = 0.0001$; $F_{3,35}(\text{group}) = 11.5$, $p < 0.0001$, two-way rmANOVA). No significant improvement was observed on the social interaction time with either drug treatment at all tested time points (Fig. 1B, day 1: $F_{3,70}(\text{group}) = 3.3$, $p = 0.03$; day 4: $F_{3,70}(\text{group}) = 1.7$, $p = 0.17$; day 8: $F_{3,70}(\text{group}) = 2.1$, $p = 0.11$, two-way ANOVAs). Representative heat maps illustrating the time spent in different locations of the 3-chamber apparatus for all groups at day 8 post-treatment are shown in Fig. 1C. These data indicate that targeting each individual epigenetic enzyme only exerts transient and modest effects in adult Shank3^{+/ Δ C} mice.

Since class I HDACs function cooperatively with LSD1 in repressor complexes^{19,21,22}, we next co-administered Romidepsin and GSK-LSD1 to assess whether combined inhibition of HDACs and LSD1 may have synergistic effects to rescue sociability in adult Shank3-deficient mice. Remarkably, adult Shank3^{+/ Δ C} mice treated with both Romidepsin and GSK-LSD1 (0.25 mg/kg and 5 mg/kg, respectively, i.p., 3 days) displayed significantly elevated social preference indexes relative to saline-treated adult Shank3^{+/ Δ C} mice, which persisted for 24 days post-treatment (Fig. 1D, $n = 7\text{--}15$ mice/group, $F_{2,31}(\text{group}) = 53.4$, $p < 0.0001$, two-way rmANOVA). Combined treatment of adult Shank3^{+/ Δ C} mice with romidepsin and GSK-LSD1 also significantly increased the time interacting with social stimuli (Fig. 1E, $F_{2,31}(\text{group}) = 16.66$, $p < 0.0001$, two-way rmANOVA), and significantly decreased the time interacting with non-social stimuli (Fig. 1F, $F_{2,31}(\text{group}) = 8.0$, $p = 0.002$, two-way rmANOVA). Representative heat maps illustrating the time spent in different locations of the three-chamber apparatus for all groups at day 8 post-treatment are shown in Fig. 1G. Collectively, these data suggest that the combined inhibition of HDAC and LSD1 produces potent and long-lasting rescue of social deficits in adult Shank3^{+/ Δ C} mice.

To ensure that the observed increases in sociability were not caused by drug-induced changes in locomotion, we

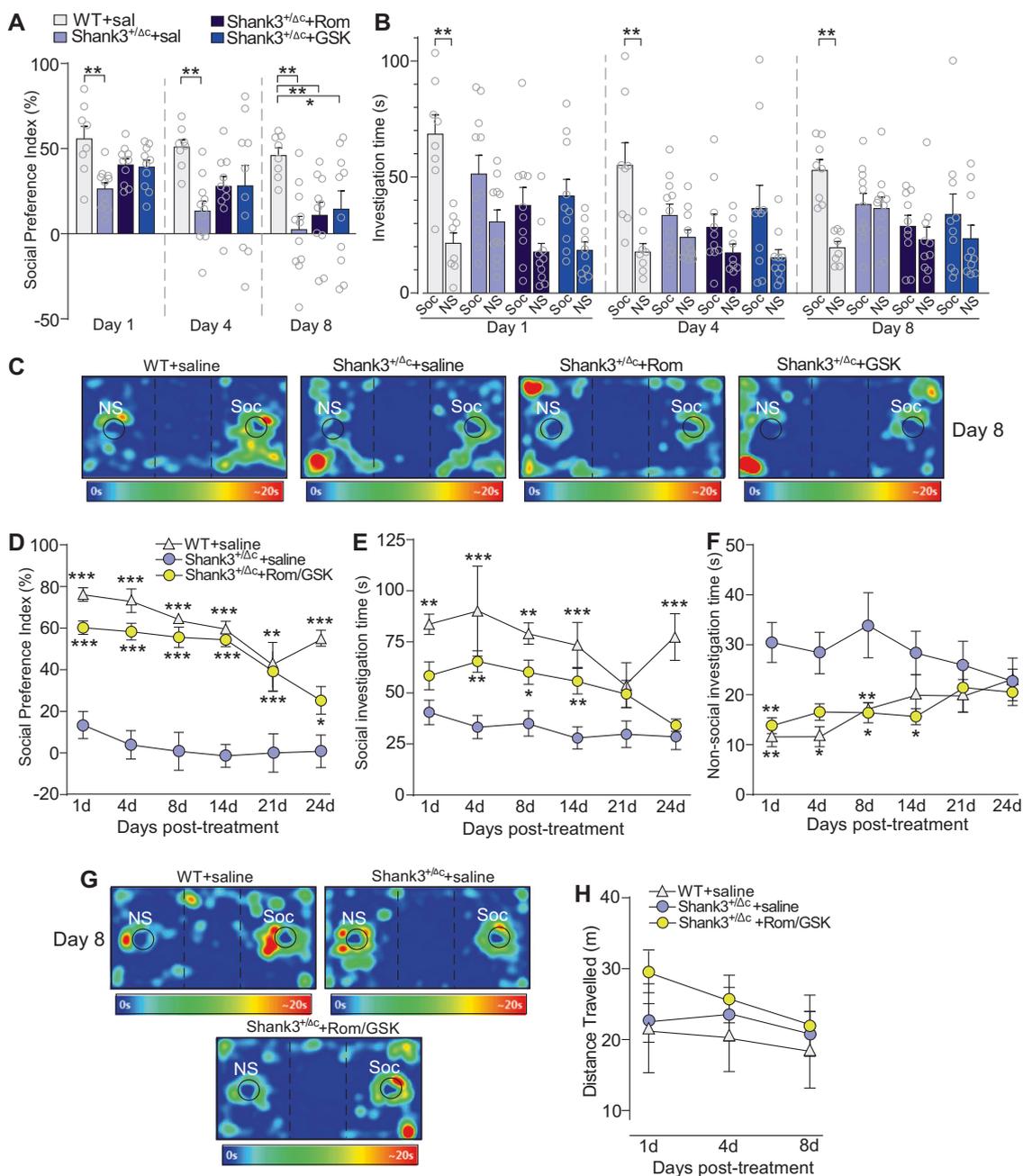
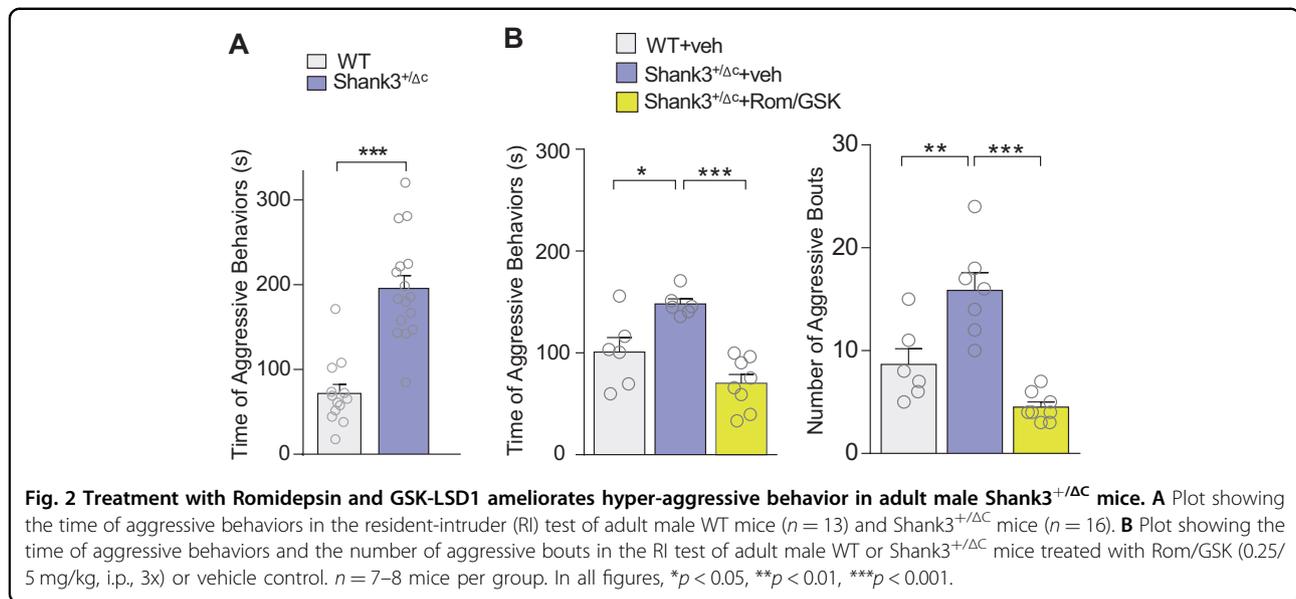


Fig. 1 Combined administration of Romidepsin and GSK-LSD1 exerts persistent rescue of social deficits in adult male Shank3-deficient mice. **A** Bar graphs showing social preference index of adult saline-treated WT or Shank3^{+/ Δ C} mice treated with saline, Romidepsin (Rom, 0.25 mg/kg, i.p., 3x) or GSK-LSD1 (5 mg/kg, i.p., 3x) at 1, 4, and 8 days post-treatment in the 3-chamber social preference test. **B** Bar graphs showing social (Soc) and non-social (NS) investigation time of the four groups at 1, 4, and 8 days post-treatment. For panels **A** & **B**, WT + sal: *n* = 8 mice; Shank3+sal: *n* = 11 mice; Shank3+Rom: *n* = 10 mice; Shank3+GSK: *n* = 10 mice. **C** Representative heat maps for all four groups at day 8 post-treatment. **D** Plots of social preference index for adult saline-treated WT or Shank3^{+/ Δ C} mice treated with saline or both Romidepsin (0.25 mg/kg, i.p., 3x) and GSK-LSD1 (5 mg/kg, i.p., 3x) (Rom/GSK) at days 1–24 post-treatment in the 3-chamber social preference test. **E, F** Plot of social (**E**) and non-social (**F**) investigation time of the three groups at days 1–24 post-treatment. For panels **D–F**, WT + sal; *n* = 7 mice; Shank3+sal: *n* = 15 mice; Shank3+Rom/GSK: *n* = 12 mice. **G** Representative heat maps for all three groups at day 8 post-treatment. **H** Plot showing distance traveled during the test phase of the three-chamber social preference assay for the 3 groups at various time points. WT + sal: *n* = 6 mice; Shank3+sal: *n* = 15 mice; Shank3+Rom/GSK: *n* = 6 mice. In all figures, **p* < 0.05, ***p* < 0.01, ****p* < 0.001. In panels **D–F**, asterisks indicate significant differences when compared with Shank3^{+/ Δ C} + Saline group within a given time point.



assessed locomotive activity in Rom/GSK-treated *Shank3*^{+/ Δ C} mice. No significant differences in locomotion were observed during the test phase of the 3-chamber social preference assay among WT or *Shank3*^{+/ Δ C} mice treated with saline or Rom/GSK (Fig. 1H, $n = 6-15$ mice/group, $F_{2,24}(\text{group}) = 0.5$, $p = 0.6$, two-way rmANOVA).

Combined administration of Romidepsin and GSK-LSD1 ameliorates heightened aggression in adult *Shank3*-deficient mice

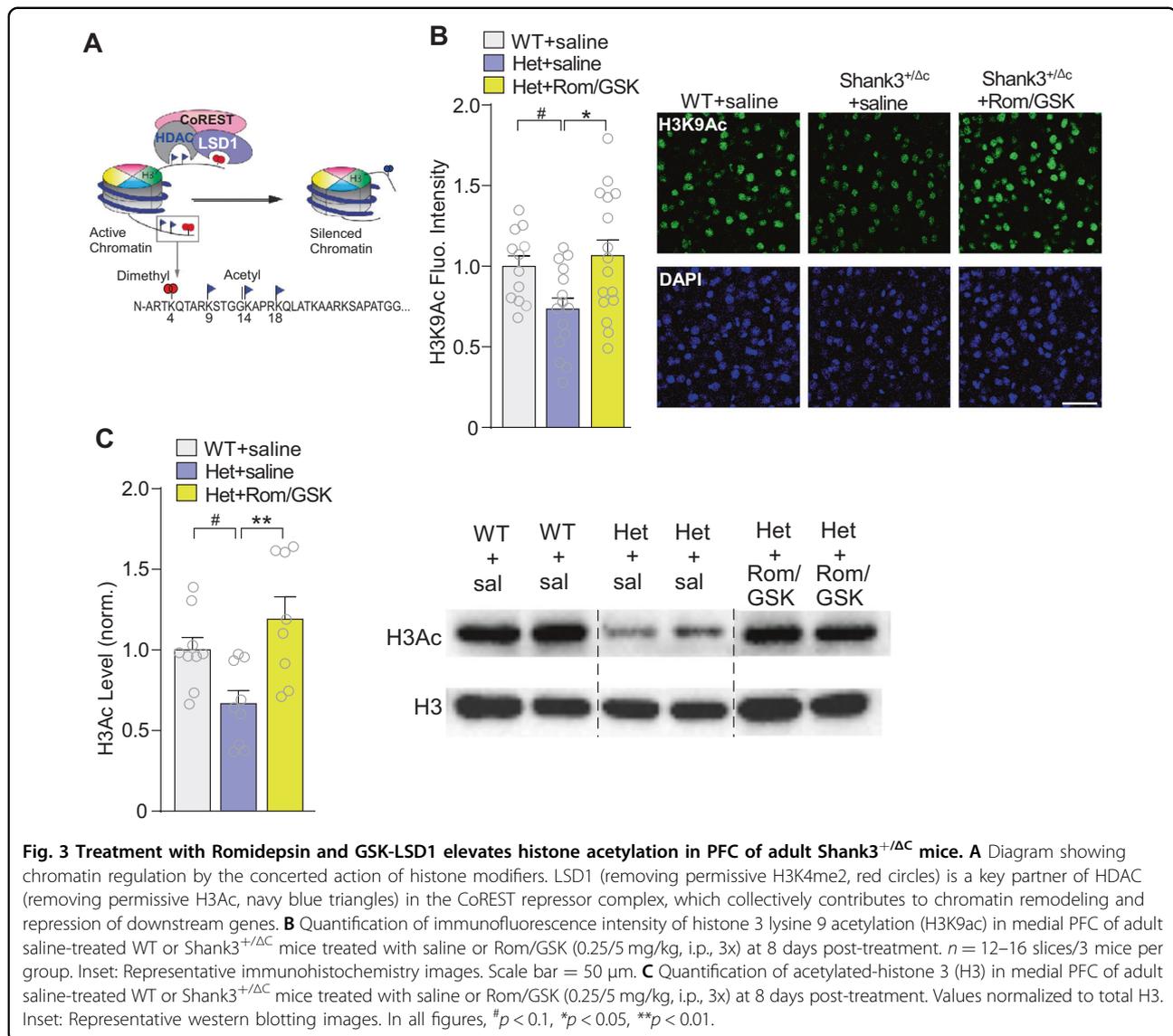
As *Shank3*^{+/ Δ C} male mice grow into adulthood, we observed that they often sustained injuries from fighting with cage-mates. We thus assessed their aggressive behavior using the resident-intruder (RI) test. Compared to adult WT controls, adult *Shank3*^{+/ Δ C} mice spent significantly more time engaging in aggressive behaviors with the intruder mouse (Fig. 2A, WT: $n = 13$, *Shank3*^{+/ Δ C}: $n = 16$, $t_{(26)} = 6.7$, $p < 0.001$, t -test). However, adult *Shank3*^{+/ Δ C} mice treated with Romidepsin and GSK-LSD1 had significantly decreased aggression time (Fig. 2B, $n = 6-8$ mice/group, $F_{2,17} = 16.06$, $p = 0.0001$, one-way ANOVA) and significantly fewer bouts of aggressive behaviors (Fig. 2C, $n = 7-8$ mice/group, $F_{2,18} = 21.05$, $p < 0.0001$, one-way ANOVA), compared to *Shank3*^{+/ Δ C} mice with vehicle treatment, which were similar to WT mice treated with vehicle. Altogether, these data have revealed a new phenotype in adult *Shank3*-deficient mice – heightened aggression, which is ameliorated by combined inhibition of HDAC and LSD1.

Combined administration of Romidepsin and GSK-LSD1 increases NMDAR transcription and restores NMDAR function in adult *Shank3*^{+/ Δ C} mice

Next, we sought to find out the mechanism underlying behavioral rescue by dual inhibitors. Since class I HDAC

and LSD1 are often recruited to the same chromatin-associated complex to regulate the transcription of common genes^{21,22}, we hypothesize that the synergistic effect of Rom/GSK is driven by the combined inhibition of two functionally coupled epigenetic enzymes (Fig. 3A). For these biochemical studies, we focused on the prefrontal cortex (PFC), as this brain region is highly implicated in social cognition^{15,16,32,33}. We first examined the impact of dual inhibitors on histone acetylation in PFC neurons. Compared to saline-treated WT mice, adult *Shank3*^{+/ Δ C} mice had significantly reduced level of histone 3 lysine 9 acetylation (H3K9ac), which was reversed by combined treatment with Romidepsin and GSK-LSD1 (Fig. 3B, $n = 12-16$ slices/3 mice/group, $F_{2,40} = 5.0$, $p = 0.01$, one-way ANOVA). Restoration of histone 3 acetylation (H3Ac) was further verified via Western blotting, which similarly indicated the rescue of H3Ac in PFC of Rom/GSK-treated *Shank3*^{+/ Δ C} mice (Fig. 3C, $n = 8-10$ mice, $F_{2,23} = 7.09$, $p = 0.004$, one-way ANOVA). These results indicate that Rom/GSK treatment may alleviate social deficits by reversing transcriptional changes driven by aberrant histone modifications.

Increased H3K9ac by Rom/GSK treatment could lead to the upregulation of gene transcription. Next, we performed qPCR experiments to identify altered genes in PFC of adult *Shank3*^{+/ Δ C} mice treated with Romidepsin and GSK-LSD1. Since young *Shank3*^{+/ Δ C} mice exhibit deficient NMDAR-mediated synaptic transmission in PFC¹⁴, we first examined the mRNA level of NMDAR subunits. As shown in Fig. 4A, NR2A (*Grin2a*) and NR2B (*Grin2b*) subunits were significantly increased in PFC of Rom/GSK-treated *Shank3*^{+/ Δ C} mice, compared to saline controls ($n = 11-12$ mice/group, *Grin2a*: $F_{2,31} = 3.1$, $p = 0.06$; *Grin2b*: $F_{2,31} = 4.8$, $p = 0.015$; one-way ANOVA).

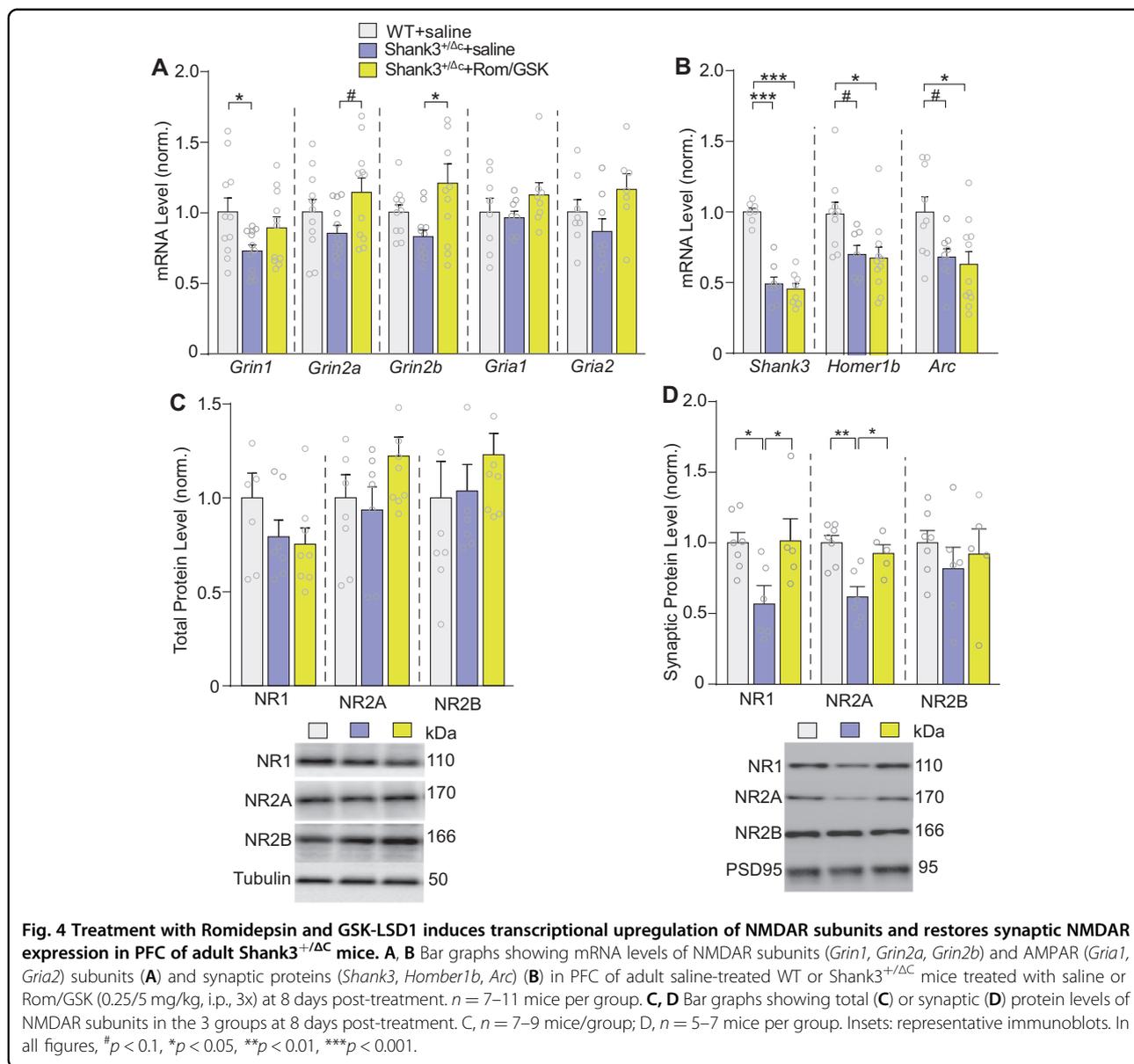


NR1 (*Grin1*), which was significantly decreased in adult *Shank3*^{+/ Δ C} mice, was also elevated by Romidepsin and GSK-LSD1 treatment ($F_{2,31} = 3.4$, $p = 0.05$, one-way ANOVA). The mRNA level of AMPA receptor GluR1 (*Gria1*) and GluR2 (*Gria2*) subunits were largely unchanged in PFC of Rom/GSK-treated *Shank3*^{+/ Δ C} mice (Fig. 4A, $n = 7-8$ mice/group, *Gria1*: $F_{2,21} = 1.1$, $p = 0.4$; *Gria2*: $F_{2,20} = 2.4$, $p = 0.1$; one-way ANOVA). These findings indicate that combined inhibition of HDAC and LSD1 induces transcriptional upregulation of NMDARs in adult *Shank3*^{+/ Δ C} mice.

We further examined the effect of dual inhibitors on additional genes involved in synaptic plasticity. Other than *Shank3*, we profiled the mRNA level of *Homer1b*, the mGluR scaffolding protein that is altered in *Shank3* complete knockout mice³⁴, and *Arc*, an activity-associated

immediate early gene highly involved in schizophrenia, intellectual disability and autism³⁵. As shown in Fig. 4B, these genes were significantly downregulated in PFC of adult *Shank3*^{+/ Δ C} mice, consistent with our finding in young *Shank3*^{+/ Δ C} mice^{13,23,24}, but combined treatment with Romidepsin and GSK-LSD1 failed to elevate them (*Shank3*: $n = 7-8$ mice/group, $F_{2,20} = 51.6$, $p < 0.0001$; *Homer1b*: $n = 7-11$ mice/group, $F_{2,25} = 5.1$, $p = 0.01$; *Arc*: $n = 9-11$ mice/group, $F_{2,26} = 5.1$, $p = 0.01$; one-way ANOVA).

Given the transcriptional upregulation of NMDAR subunits by dual inhibitors, we further examined NMDAR protein levels. No significant changes in NR1, NR2A, or NR2B were observed in total lysates, despite a trend of increase on NR2A and NR2B in Rom/GSK-treated *Shank3*^{+/ Δ C} mice (Fig. 4C, $n = 7-9$ mice/group, NR1:



$F_{2,20} = 0.7$, $p = 0.7$; NR2A: $F_{2,21} = 1.7$, $p = 0.2$; NR2B: $F_{2,21} = 0.7$, $p = 0.5$; one-way ANOVAs). In fractions containing only membrane-associated synaptic proteins isolated from PFC tissue, we found a significant loss of synaptic NR1 and NR2A in saline-treated *Shank3*^{+/ Δ C} mice relative to WT, and the synaptic level of NR1 and NR2A, but not NR2B, was significantly elevated in Rom/GSK-treated *Shank3*^{+/ Δ C} mice (Fig. 4D, *n* = 5–7 mice/group, NR1: $F_{2,15} = 5.2$, $p = 0.02$; NR2A: $F_{2,15} = 10.8$, $p = 0.001$; NR2B: $F_{2,15} = 0.5$, $p = 0.6$; one-way ANOVA).

Finally, we examined whether treatment of adult *Shank3*^{+/ Δ C} mice with Romidepsin and GSK-LSD1 may reverse synaptic dysfunction in PFC. Whole-cell patch-clamp recording was performed to measure spontaneous

excitatory postsynaptic current (sEPSC) and evoked AMPAR- or NMDAR-mediated EPSC in layer V PFC pyramidal neurons. The amplitude and frequency of sEPSC had no difference between *Shank3*^{+/ Δ C} and WT mice (Fig. 5A, B, *n* = 20 cells/3–4 mice/group, $p > 0.05$, *t*-test). NMDAR-EPSC to AMPAR-EPSC ratio was significantly reduced in PFC neurons of *Shank3*^{+/ Δ C} mice (Fig. 5C, *n* = 15 cells/3–4 mice/group, $p = 0.0003$, *t* test), while the amplitude of AMPAR-EPSC evoked by a series of stimulus pulses were unchanged in PFC neurons of *Shank3*^{+/ Δ C} mice (Fig. 5D, *n* = 13–15 cells/3–4 mice/group, $F_{4,104}$ (interaction) = 0.88, $p > 0.05$; $F_{1,26}$ (group) = 0.66, $p > 0.05$, two-way ANOVA). Thus, adult *Shank3*^{+/ Δ C} mice have specific NMDAR hypofunction in PFC pyramidal

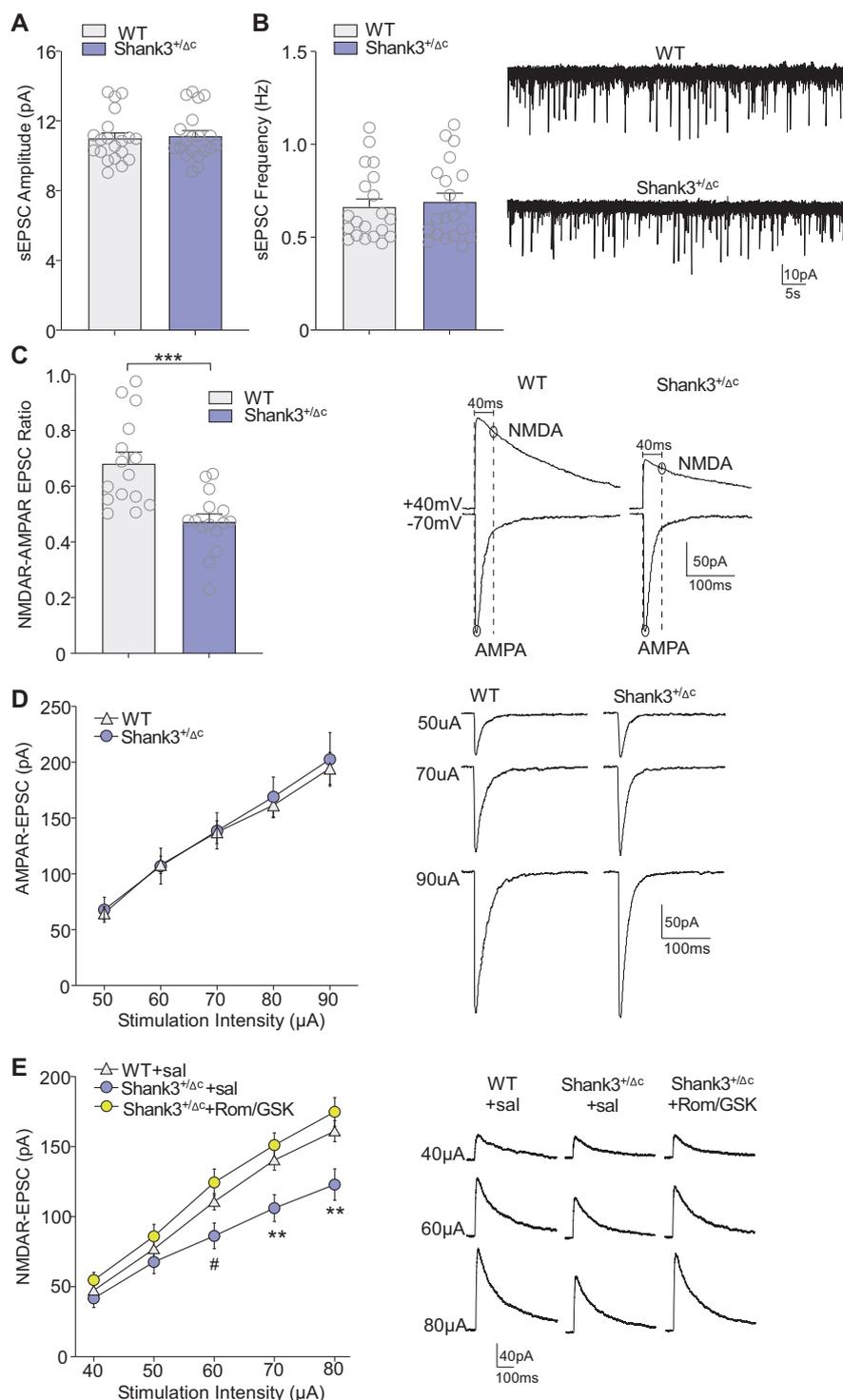


Fig. 5 Treatment with Romidepsin and GSK-LSD1 restores NMDAR function in PFC pyramidal neurons of adult Shank3^{+/ Δ C} mice. **A, B** Bar graphs (mean \pm SEM) of sEPSC amplitude (**A**) and frequency (**B**) in PFC pyramidal neurons from WT vs. Shank3^{+/ Δ C} mice ($n = 20$ cells/3–4 mice per group). Inset: representative sEPSC traces. **C** Bar graphs (mean \pm SEM) of NMDAR-EPSC-to-AMPA-EPSC ratio in PFC pyramidal neurons from WT and Shank3^{+/ Δ C} mice ($n = 15$ cells/3–4 mice per group). Inset: representative traces. **D** Input-output curves of AMPAR-EPSC in PFC pyramidal neurons from WT vs. Shank3^{+/ Δ C} mice ($n = 13$ –15 cells from 3–4 mice per group). Inset: representative AMPAR-EPSC traces. **E** Input-output curves of NMDAR-EPSC in PFC pyramidal neurons from adult saline-treated WT or Shank3^{+/ Δ C} mice treated with saline or Rom/GSK (0.25/5 mg/kg, i.p., 3x) at 8 days post-treatment ($n = 10$ –12 cells per group). Inset: representative NMDAR-EPSC traces. In all figures, # $p < 0.1$, * $p < 0.05$, *** $p < 0.001$.

neurons, similar to the pronounced phenotype found in young *Shank3*^{+/ Δ C} mice^{14,23,36}. Treatment of adult *Shank3*^{+/ Δ C} mice with Romidepsin and GSK-LSD1 significantly increased the amplitudes of evoked NMDAR-EPSC in PFC neurons, compared to saline-treated *Shank3*^{+/ Δ C} mice (Fig. 5E, $n = 10\text{--}12$ cells/3 mice/group, $F_{8,120}$ (interaction) = 8.2, $p < 0.0001$; $F_{2,30}$ (group) = 5.0, $p = 0.01$, two-way ANOVA). Collectively, these findings indicate that combined inhibition of HDAC and LSD1 restores the synaptic expression of NMDA receptor subunits, producing a robust recovery of NMDAR function in PFC of adult *Shank3*^{+/ Δ C} mice.

Discussion

To date, there is little information on the treatment strategy for adult ASD. Here, we show that combined pharmacological inhibition of epigenetic enzymes, class I HDAC and LSD1, is capable of reversing social deficits and hyper-aggressive behavior in adult male *Shank3*^{+/ Δ C} mice. The dual inhibitors increase H3K9 acetylation and H3K4 dimethylation in PFC of adult *Shank3*^{+/ Δ C} mice, resulting in transcriptional upregulation of NMDAR subunits, which is coupled with the elevated synaptic expression of NMDAR subunits and the restored NMDAR function in PFC pyramidal neurons. These findings indicate that the epigenetic and synaptic routes that drive behavioral improvements in *Shank3*^{+/ Δ C} mice remain modifiable through adulthood.

When administered individually, the HDAC inhibitor Romidepsin and the LSD1 inhibitor GSK-LSD1 each confer modest and short-lived benefits on sociability in adult *Shank3*^{+/ Δ C} mice. In contrast, combined treatment with dual inhibitors induces persistent rescue (up to 24 days) of social preference in adult *Shank3*^{+/ Δ C} mice. We hypothesize that the synergistic effect of Rom/GSK is driven by the combined inhibition of two functionally coupled epigenetic enzymes, as class I HDAC and LSD1 have been shown to operate jointly in regulating gene transcription¹⁹. Indeed, compounds inhibiting both HDAC and LSD1 have synergistic actions in regulating apoptosis^{37,38}, and combined HDAC/LSD1 inhibition has been proposed as a pharmacological approach for cancers that are unresponsive to single drug therapies³⁸. In line with this idea, it appears likely that combined HDAC/LSD1 inhibition is necessary for persistent rescue of sociability in adult *Shank3*^{+/ Δ C} mice.

Adult male *Shank3*^{+/ Δ C} mice exhibit increased aggressive behavior, a new phenotype not found in young (juvenile to adolescent) *Shank3*^{+/ Δ C} mice. Aggressive behavior is relatively common in individuals with ASD^{39,40}. Aggression is also reported as one of the core symptoms in some humans with *Shank3* deletion

(22q13.3 deletion syndrome)⁴¹. The prefrontal cortex has been highly implicated in aggressive behavior in both human and mice studies^{42–45}. The alleviation of aggression in adult male *Shank3*^{+/ Δ C} mice by combined HDAC/LSD1 inhibition indicates that this pharmacological intervention can improve several domains of behavioral abnormalities.

Combined administration of Romidepsin/GSK-LSD1 selectively increased expression levels of the NMDAR subunit genes *Grin2a/b*, while AMPAR subunit genes *Gria1/2* were unchanged. The exact mechanism for these differential transcriptional changes is unclear, though it may be driven by transcriptional control of selective gene targets by epigenetic enzymes. Chromatin modifications have been implicated in the regulation of developmental and experience-dependent processes in neurons, including synaptic plasticity⁴⁶. We speculate that pharmacological inhibition of HDACs and LSD1 via Rom/GSK administration affects an epigenetic program specifically regulating NMDAR subunit expression in neurons, rather than AMPAR subunits or other genes related to synaptic plasticity. This transcriptional program may be experience-dependent rather than developmentally-controlled, as it remains treatment-responsive in PFC of adult mice.

Shank3 encodes a scaffolding protein at glutamatergic synapses⁸, and *Shank3*-deficient mice exhibit NMDAR synaptic function deficits^{14,47,48}. Restoring NMDAR activity in PFC – specifically via targeting epigenetic histone modifiers – is sufficient in rescuing sociability in adolescent *Shank3*^{+/ Δ C} mice^{13,14}. Here, we show that NMDAR hypofunction and reduced synaptic NMDAR expression, two phenotypes previously observed in adolescent *Shank3*^{+/ Δ C} mice^{13,14}, persist into adulthood, and these NMDAR deficits are reversed by Rom/GSK treatment in adult male *Shank3*^{+/ Δ C} mice. We also observed that synaptic levels of NMDA receptor subunits were selectively restored in Romidepsin/GSK-LSD1-treated *Shank3*^{+/ Δ C} mice, whereas total protein levels were unchanged by the treatment. It is unlikely that this is related to restored *Shank3* expression at synapses, as Rom/GSK treatment did not impact *Shank3* expression. We thus speculate that the restoration of synaptic NMDARs is driven by the increased receptor trafficking to synapses. Our previous studies have demonstrated that diminished synaptic distribution of NMDARs in *Shank3*^{+/ Δ C} mice is mechanistically linked to β -catenin¹³ and actin regulators¹⁴, and Romidepsin treatment in adolescent *Shank3*^{+/ Δ C} mice is capable of restoring sociability via rescuing actin filaments and synaptic NMDARs¹³. It is thus possible that Rom/GSK treatment is operating on similar molecular mechanisms in adult *Shank3*^{+/ Δ C} mice.

Cumulatively, these results strongly suggest that therapies that can normalize glutamatergic transmission may offer high therapeutic potential for human *Shank3*-deletion carriers. Furthermore, this study shows that glutamatergic synaptic deficits in *Shank3*^{+/ Δ C} mice remain pharmacologically amenable in adulthood. The restoration of PFC NMDAR function by combined inhibition of HDAC and LSD1 may underlie the behavioral effects of the dual inhibitors in adult *Shank3*^{+/ Δ C} mice.

Acknowledgements

We thank Xiaoqing Chen and Kaijie Ma for excellent technical support.

Funding and disclosure

This work was supported by Nancy Lurie Marks Family Foundation and National Institutes of Health (MH112237; MH108842) to Z. Y. The authors have nothing to disclose.

Author contributions

F.Z. performed behavioral and biochemical experiments, and analyzed data; B.R. designed experiments, performed parts of biochemical experiments, analyzed data, and wrote the paper; P.Z. and T.S. performed electrophysiological experiments and analyzed data; M.C. performed parts of behavioral experiments; Z.-J.W. performed parts of biochemical experiments and analyzed data; Z.Y. designed experiments, supervised the project and wrote the paper.

Conflict of interest

The authors declare that they have no conflict of interest.

Publisher's note

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Received: 3 July 2020 Revised: 16 December 2020 Accepted: 5 January 2021

Published online: 04 February 2021

References

- American Psychiatric Association. *Diagnostic and Statistical Manual of Mental Disorders: DSM-5*. 5th ed. (American Psychiatric Association, Arlington, 2013).
- Baio, J. et al. Prevalence of autism spectrum disorder among children aged 8 years - autism and developmental disabilities monitoring network, 11 Sites, United States, 2014. *MMWR Surveill. Summ.* **67**, 1–23 (2018).
- Daniels, A. M. & Mandell, D. S. Explaining differences in age at autism spectrum disorder diagnosis: a critical review. *Autism* **18**, 583–597 (2014).
- Hertz-Picciotto, I. & Delwiche, L. The rise in autism and the role of age at diagnosis. *Epidemiology* **20**, 84–90 (2009).
- Sukoff Rizzo, S. J. & Crawley, J. N. Behavioral phenotyping assays for genetic mouse models of neurodevelopmental, neurodegenerative, and psychiatric disorders. *Annu Rev. Anim. Biosci.* **5**, 371–389 (2017).
- de la Torre-Ubieta, L. et al. Advancing the understanding of autism disease mechanisms through genetics. *Nat. Med.* **22**, 345–361 (2016).
- De Rubeis, S. et al. Synaptic, transcriptional and chromatin genes disrupted in autism. *Nature* **515**, 209–215 (2014).
- Durand, C. M. et al. Mutations in the gene encoding the synaptic scaffolding protein SHANK3 are associated with autism spectrum disorders. *Nat. Genet.* **39**, 25–27 (2007).
- Betancur, C. & Buxbaum, J. D. SHANK3 haploinsufficiency: a “common” but underdiagnosed highly penetrant monogenic cause of autism spectrum disorders. *Mol. Autism* **4**, 17 (2013).
- Sebat, J. et al. Strong association of de novo copy number mutations with autism. *Science* **316**, 445–449 (2007).
- De Rubeis, S. et al. Delineation of the genetic and clinical spectrum of Phelan-McDermid syndrome caused by SHANK3 point mutations. *Mol. Autism* **9**, 31 (2018).
- Phelan, M. C. Deletion 22q13.3 syndrome. *Orphanet J. Rare Dis.* **3**, 14 (2008).
- Qin, L. et al. Social deficits in Shank3-deficient mouse models of autism are rescued by histone deacetylase (HDAC) inhibition. *Nat. Neurosci.* **21**, 564–575 (2018).
- Duffney, L. J. et al. Autism-like deficits in shank3-deficient mice are rescued by targeting actin regulators. *Cell Rep.* **11**, 1400–1413 (2015).
- Forbes, C. E. & Grafman, J. The role of the human prefrontal cortex in social cognition and moral judgment. *Annu. Rev. Neurosci.* **33**, 299–324 (2010).
- Amodio, D. M. & Frith, C. D. Meeting of minds: the medial frontal cortex and social cognition. *Nat. Rev. Neurosci.* **7**, 268–277 (2006).
- Crawley, J. N., Heyer, W. D. & LaSalle, J. M. Autism and cancer share risk genes, pathways, and drug targets. *Trends Genet.* **32**, 139–146 (2016).
- Jenuwein, T. & Allis, C. D. Translating the histone code. *Science* **293**, 1074–1080 (2001).
- Hayakawa, T. & Nakayama, J. Physiological roles of class I HDAC complex and histone demethylase. *J. Biomed. Biotechnol.* **2011**, 129383 (2011).
- Shi, Y. et al. Histone demethylation mediated by the nuclear amine oxidase homolog LSD1. *Cell* **119**, 941–953 (2004).
- Nair, S. S., Li, D. Q. & Kumar, R. A core chromatin remodeling factor instructs global chromatin signaling through multivalent reading of nucleosome codes. *Mol. Cell* **49**, 704–718 (2013).
- Meier, K. & Brehm, A. Chromatin regulation: how complex does it get?. *Epigenetics* **9**, 1485–1495 (2014).
- Wang, Z. J. et al. Amelioration of autism-like social deficits by targeting histone methyltransferases EHMT1/2 in Shank3-deficient mice. *Mol. Psychiatry* **10**, 2517–2533 (2019).
- Ma, K. et al. Histone deacetylase inhibitor MS-275 restores social and synaptic function in a Shank3-deficient mouse model of autism. *Neuropsychopharmacology* **43**, 1779–1788 (2018).
- Marquez, C. et al. Peripuberty stress leads to abnormal aggression, altered amygdala and orbitofrontal reactivity and increased prefrontal MAOA gene expression. *Transl. Psychiatry* **3**, e216 (2013).
- Wei, J. et al. Chemicogenetic restoration of the prefrontal cortex to amygdala pathway ameliorates stress-induced deficits. *Cereb. Cortex* **28**, 1980–1990 (2018).
- Rein, B. et al. Reversal of synaptic and behavioral deficits in a 16p11.2 duplication mouse model via restoration of the GABA synapse regulator Npas4. *Mol. Psychiatry*, 2020.
- Yuen, E. Y. et al. Repeated stress causes cognitive impairment by suppressing glutamate receptor expression and function in prefrontal cortex. *Neuron* **73**, 962–977 (2012).
- Berg, S. L. et al. Plasma and cerebrospinal fluid pharmacokinetics of depsi-peptide (FR901228) in nonhuman primates. *Cancer Chemother. Pharm.* **54**, 85–88 (2004).
- Grayson, D. R., Kundakovic, M. & Sharma, R. P. Is there a future for histone deacetylase inhibitors in the pharmacotherapy of psychiatric disorders?. *Mol. Pharm.* **77**, 126–135 (2010).
- Cusan, M. et al. LSD1 inhibition exerts its antileukemic effect by recommitting PU.1- and C/EBPalpha-dependent enhancers in AML. *Blood* **131**, 1730–1742 (2018).
- Hiser, J. & Koenigs, M. The multifaceted role of the ventromedial prefrontal cortex in emotion, decision making, social cognition, and psychopathology. *Biol. Psychiatry* **83**, 638–647 (2018).
- Murugan, M. et al. Combined social and spatial coding in a descending projection from the prefrontal cortex. *Cell* **171**, 1663–1677 e16 (2017).
- Wang, X. et al. Altered mGluR5-Homer scaffolds and corticostriatal connectivity in a Shank3 complete knockout model of autism. *Nat. Commun.* **7**, 11459 (2016).
- Fernandez, E. et al. Arc requires PSD95 for assembly into postsynaptic complexes involved with neural dysfunction and intelligence. *Cell Rep.* **21**, 679–691 (2017).
- Qin, L., Ma, K. & Yan, Z. Chemogenetic activation of prefrontal cortex in Shank3-deficient mice ameliorates social deficits NMDAR hypofunction, Sgk2 downregulation. *Science* **17**, 24–35 (2019).
- Haydn, T. et al. Concomitant epigenetic targeting of LSD1 and HDAC synergistically induces mitochondrial apoptosis in rhabdomyosarcoma cells. *Cell Death Dis.* **8**, e2879 (2017).

38. Anastas, J. N. et al. Re-programing chromatin with a bifunctional LSD1/HDAC inhibitor induces therapeutic differentiation in DIPG. *Cancer Cell* **36**, 528–544 e10 (2019).
39. Fitzpatrick, S. E. et al. Aggression in autism spectrum disorder: presentation and treatment options. *Neuropsychiatr. Dis. Treat.* **12**, 1525–1538 (2016).
40. Parikh, M. S., Kolevzon, A. & Hollander, E. Psychopharmacology of aggression in children and adolescents with autism: a critical review of efficacy and tolerability. *J. Child Adolesc. Psychopharmacol.* **18**, 157–178 (2008).
41. Pasini, A. et al. Dose-dependent effect of risperidone treatment in a case of 22q13.3 deletion syndrome. *Brain Dev.* **32**, 425–427 (2010).
42. Nelson, R. J. & Trainor, B. C. Neural mechanisms of aggression. *Nat. Rev. Neurosci.* **8**, 536–546 (2007).
43. Davidson, R. J., Putnam, K. M. & Larson, C. L. Dysfunction in the neural circuitry of emotion regulation—a possible prelude to violence. *Science* **289**, 591–594 (2000).
44. Choy, O., Raine, A. & Hamilton, R. H. Stimulation of the prefrontal cortex reduces intentions to commit aggression: a randomized, double-blind, placebo-controlled, stratified, parallel-group trial. *J. Neurosci.* **38**, 6505–6512 (2018).
45. Takahashi, A. et al. Control of intermale aggression by medial prefrontal cortex activation in the mouse. *PLoS ONE* **9**, e94657 (2014).
46. Feng, J., Fouse, S. & Fan, G. Epigenetic regulation of neural gene expression and neuronal function. *Pediatr. Res.* **61**, 58R–63R (2007).
47. Duffney, L. J. et al. Shank3 deficiency induces NMDA receptor hypofunction via an actin-dependent mechanism. *J. Neurosci.* **33**, 15767–15778 (2013).
48. Speed, H. E. et al. Autism-associated insertion mutation (InsG) of Shank3 exon 21 causes impaired synaptic transmission and behavioral deficits. *J. Neurosci.* **35**, 9648–9665 (2015).